

B. YOUR FINANCIAL SITUATION

1. Are you an accredited investor or otherwise a person to whom we owe no duty under Section 27 of the Financial Advisers Act or Section 121 of the Securities and Futures Act 2001 (collectively 'Professional Investor')?

Yes Please note that if your answer is yes or you are in fact a Professional Investor or forthwith on your becoming a Professional Investor that we will have no duty and will accept no duty to determine the suitability of any recommendation or advice provided to you. Professional Investors should be able to and we are assuming will make their own respective determination on the suitability for themselves of any and all investment advice or recommendation.

Therefore if you are a Professional Investor, you need only to answer 'Yes' and need not complete any of the following questions below. Please sign and return this form.

No If you are not a Professional Investor now but do become one subsequently, you are obliged to let us know forthwith and thereafter and so long as you remain a Professional Investor you need not provide us any update of the information you have provided us through this Client Investment Profile. For as long as you are a Professional Investor or until we are formally notified otherwise together with a duly completed Client Investment Profile, you will be solely responsible for determining the suitability of any advice or recommendation provided to you. We do not and will not accept the responsibility for such determination.

2. Income Tax Returns

If you are not a Professional Investor (including an accredited investor), please provide us with a copy of your latest income tax returns together with completion of the following:

What percentage of your income do you put aside for savings a year?

____% None

3. Percentage of Investable / Liquid Assets

What percentage of your investable/liquid assets will be invested using our services as securities dealer?

Less than 25% 25% to 49% 50% to 75% Greater than 75%

Note: The percentage should represent only your risk capital. In this regard risk capital means funds and assets which if lost would not change your lifestyle or your family's lifestyle.

4. Are you dependent on the liquid assets/cash that you will be using for investments or trading with or through us to meet any current or anticipated payment requirements?

Yes **If your answer is yes, then our services are probably not suitable for you at all, and you should not even proceed with submitting your application for an account with us. Where you already have an account with us you should take steps to close the account.**

No

C. YOUR INVESTMENT EXPERIENCE

1. Investment History

Have you ever invested in the following?

	Experienced	No Experience
- Shares	<input type="checkbox"/>	<input type="checkbox"/>
- Bonds	<input type="checkbox"/>	<input type="checkbox"/>
- Unit Trusts	<input type="checkbox"/>	<input type="checkbox"/>
- Equity Derivatives	<input type="checkbox"/>	<input type="checkbox"/>
- Futures, options, commodities etc	<input type="checkbox"/>	<input type="checkbox"/>
- Leveraged foreign exchange	<input type="checkbox"/>	<input type="checkbox"/>
- Borrowed money or used margin for the purpose of or to take advantage of an investment opportunity	<input type="checkbox"/>	<input type="checkbox"/>
- Real Estate	<input type="checkbox"/>	<input type="checkbox"/>
- Precious Metals/Collectibles	<input type="checkbox"/>	<input type="checkbox"/>
- Others	<input type="checkbox"/>	<input type="checkbox"/>

2. How long have you been trading in the stock market?

Less than 1 year 1-3 years More than 3 years

3. How often do you trade in securities?

Infrequent (1 or less transaction a year) Moderate (2 to 12 transactions a year) Frequent (more than 12 transactions a year)

4. Have you ever:

Applied for IPO shares? Yes No No but would like to
Applied for placement issues? Yes No No but would like to
Engaged in contra trades? Yes No No but would like to

5. How would you describe your settlement history? (Please tick one box which most accurately describes your settlement history)

I always pay for my purchases as I am a long-term investor.
 I seldom pay for my purchases as my trades are mainly contra trades.
 I will pay for my purchases unless opportunity arises for me to make a quick contra profit.

6. What does your current investment portfolio comprise? *(Please tick one box only)

Mainly cash/time deposits/savings and low-risk debt securities.
 Mainly low to moderate risk investments (such as blue chip stocks, ETFs, and principal protected products).
 Mostly speculative or high risk investments (e.g. stocks other than blue chips, high risk funds, options).

**Please provide a copy of your latest monthly Account Statement from the CDP showing your securities holdings and transaction summary.*

D. YOUR INVESTMENT OBJECTIVES & RISK PROFILE

1. Which of the following best describes your investment objective and risk profile?

- Conservative**
Achieve returns of up to 2% above bank deposit rates with lower than average risk and fluctuation in value. Long-term and secure income stream.
- Moderately Aggressive**
Achieve returns of 3-10% above bank deposit rates and willingness to accept occasional periods of high volatility. Fully accepts that investment returns will vary substantially from year to year and that there is a high chance of capital loss in any one year. May engage in speculative trading from time to time.
- Aggressive**
Achieve returns of more than 10% above bank deposit rates over the short term (under 12 months) with a tolerance for a correspondingly and significantly higher degree of volatility and risk of loss. Appreciates that there is a high chance of capital loss in individual transactions. Willing to take substantial risks. Keen on contra transactions. Appetite for investing in speculative shares.

2. Risk Tolerance

Given your tolerance for risk and understanding that investments fluctuate in value, which of the following statements would best describe your reaction if the value of your portfolio were to decline by 5% to 10% over a short period of time?

- Extremely Concerned**
I cannot accept even temporary loss of principal.
(If you indicate no tolerance for temporary losses, our services are probably not suitable for you at all, and you should not even proceed with submitting your application for an account with us. If you already have an account you should take steps to close the account.)
- Concerned**
But I recognize that short term losses are a normal investment risk and I can and generally will tolerate at least one or two quarters of negative returns in hope of a market rebound but will provide liquidation orders to limit or cut my losses as I deem fit.
- Somewhat Concerned**
But I recognize that short term losses are a normal investment risk and can tolerate even the entire loss of my investment. While I may normally hold my positions for more than two straight quarters of losses, I am unlikely to allow the entire loss of my investment but will provide liquidation orders to limit or cut my losses as I deem fit.
- Not Concerned**
I am primarily interested in achieving my long term investment goals and recognize that short term losses are a normal investment risk and I can and will tolerate the loss as a normal event. I am in any event able and willing to tolerate the loss of my entire investment against my expectation of the long term investment value of my investments. I will of course provide liquidation orders for any investment I no longer have faith in as I deem appropriate.

Note: While the information on your risk tolerance is relevant and necessary to assist us in making suitability assessments in relation to you, providing the information (and any other information in this questionnaire) will not of itself have any effect of limiting your potential losses.

E. OTHER RELEVANT INFORMATION

- No other information is relevant to determining the suitability of any specific advice or recommendation to be made to me.
- In addition to the above answers, you will need to take note of the following information as set out in the separate attachment to this Client Investment Profile and marked as Appendix 1 in determining the suitability of any specific advice or recommendation to be provided to me.